U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/609,328 TRANSMITTAL June 26, 2003 Filing Date **FORM** First Named Inventor Ranard, Timothy S. (to be used for all correspondence after initial filing) Art Unit **Examiner Name** Not yet known Fotal Number of Pages in This Submission Attorney Docket Number 020375-028610US ENCLOSURES (Check all that apply) After Allowance Communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals Fee Attached Licensing-related Papers and Interferences Petition Appeal Communication to Group (Appeal Amendment/Reply Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): Request for Refund Return Postcard, 39 references Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement The Commissioner is authorized to charge any additional fees to Deposit Certified Copy of Priority Remarks Account 20-1430. Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLF Firm Darin J. Gibb Reg. No. 38,464 Individual Signature 8/25/02 Date CERTIFICATE OF TRANSMISSION/MAILING hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Allison D. Frederick Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Signature

1 beeby certify that this correspondence is being deposited with the United Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 020375-028610US

sioner for Patents

ox 1450

xandria, VA 22313-1450

TOWNSEND and TOWNSEND and CREW LLP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Timothy S. Ranard et al.

Application No.: 10/609,328

Filed: June 26, 2003

For: METHODS AND SYSTEMS FOR PRODUCTION OF TRANSACTION

CARDS

Examiner: Not yet known

Art Unit:

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references are cited are,

or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the mailing of the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Deposit Account.

Respectfully submitted,

Dárin L Gibby Reg. No. 38,464

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 303-571-4321

DJG:adf 60025850 v1

PTO/SB/08A (04-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. DE Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

AUG 2 7 2003

(use as many sheets as necessary)

Page of

Complete if Known				
Application Number	10/609.328			
Filing Date	June 26, 2003			
First Named Inventor	Timothy Ranard, et al.			
Art Unit				
Examiner Name				
Attorney Docket Number	020375-028610US			

U.S. PATENT DOCUMENTS							
Examiner	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	A1	US-4,528,643	07-09-1985	Freeny Jr., Charles C.			
	A2	US-4,700,005	10-13-1987	Kashkashian Jr., Arsen			
	АЗ	US-4,884,212	11-28-1989	Stutsman, Richard			
	A4	US-5,146,067	09-08-1992	Sloan, et al.			
	A5	US-5,220,501	06-15-1993	Lawlor, et al.			
	A6	US-5,255,182	10-19-1993	Adams, Carl A.			
	A7	US-5,352,876	10-04-1994	Watanabe, et al.			
	A8	US-5,440,108	08-08-1995	Tran, et al.			
	A9	US-5,471,669	11-28-1995	Lidman, David G.			
	A10	US-5,477,038	12-19-1995	Levine, et al.			
	A11	US-5,504,808	04-02-1996	Hamrick Jr., James N.			
	A12	US-5,511,114	04-23-1996	Stimson and Beshear			
	A13	US-5,513,117	04-30-1996	Small, Maynard E.			
	A14	US-5,557,516	09-17-1996	Hogan, Edward J.			
	A15	US-5,592,400	01-07-1997	Sasou and Yamazaki			
	A16	US-5,637,845	06-10-1997	Kolls, Brock			
	A17	US-5,678,010	10-14-1997	Pittenger, et al.			
	A18	US-5,721,768	02-24-1998	Stimson, et al.			
	A19	US-5,796,832	08-18-1998	Kawan, Joseph C.			
	A20	US-5,868,236	02-09-1999	Rademacher, Darrell G.			
	A21	US-5,903,633	05-11-1999	Lorsch, Robert H.			
	A22	US-5,936,221	08-10-1999	Corder, et al.			
	A23	US-5,984,181	11-16-1999	Kreft, Hans-Diedrich			
	A24	US-5,987,438	11-16-1999	Nakano, et al.			
	A25	US-5,991,748	11-23-1999	Taskett, John M.			
	A26	US-6,006,988	12-28-1999	Behrmann, et al.			
	A27	US-6,129,275	10-10-2000	Urquhart, et al.			
	A28	US-6,169,975 B1	01-02-2001	White, et al.			
	A29	US-6,193,155 B1	02-27-2001	Walker, et al.			
	A30	US-2001/0018660 A1	08-30-2001	Sehr Richard P.			
	A31	US-2001/0023409 A1	09-20-2001	Keil, Dean S.			
	A32	US-2001/0023415 A1	09-20-2001	Keil, Dean S.			
	A33	US-6,295,522	09-25-2001	Boesch, Brian P.			
	A34	US-6,298,336 B1	10-02-2001	Davis, et al.			

Examiner Date Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Kind Codes of U.S. Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (04-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it o

Substitute for form 1449/PTO

AUG 2 7 2003

Page

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as man	y sneets as	necessary)
2	of	2

to a series of a series of the arman and the				
Complete if Known				
Application Number	10/609,328			
Filing Date	June 26, 2003			
First Named Inventor	Timothy Ranard, et al.			
Art Unit				
Examiner Name				
Attorney Docket Number	020375-028610US			

	U.S. PATENT DOCUMENTS					
		Document Number				
Examiner	Cite No. <sup>1</sup>	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	A35	US-6,473,500 B1	10-29-2002	Risafi, et al.		
	A36	US-2001/0047342 A1	11-29-2001	Cuervo, Vincent		
	A37	US-6,405,182 B1	06-11-2002	Cuervo, Vincent		
	A38	US-2002/174016 B1	11/21/2002	Cuervo, Vincent		
	A39	US-6,510,983 B2	01-28-2003	Horowitz, et al.		
	A40	US-2003/0053609 A1	03-20-2003	Risafi, et al.		

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	For Country Code <sup>3</sup>	eign Patent Doo Number <sup>4</sup>	Cument  Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

			 _
Examiner	Date		
Signature	Considered		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.